



April 13, 2023

Dear Prospective Offeror

**Request for Proposal NO: 364967 -Quality Assurance Support**

Hanford Mission Integration Solutions, LLC. (HMIS) requests proposals for **Quality Assurance Support**. The requested work is in support of Hanford Mission Essential Services Contract (HMESC) Prime Contract 89303320DEM000031 with the U.S. Department of Energy, Richland Office.

Information regarding the submission of a proposal is contained in the attached Request for Proposal. Proposals are to be prepared in accordance with the instructions and conditions set forth herein. Proposals are to be received by 05/03/2023 12:00 PM, PST.

All questions are to be directed to the Contract Specialist. All proposals are subject to the terms and conditions set forth herein. Any exceptions, deviations, or omissions may be grounds for rejection of proposals submitted.

HMIS looks forward to your response.

Thank you,

Contract Specialist

Kristina Hamby,  
(509) 367-3011  
Kristina\_G\_Hamby@rl.gov

*NOTE: Communications with any HMIS personnel except the above-named Contract Specialist concerning any aspect of this Solicitation may be grounds for disqualifying an Offeror from being considered for award*

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**REQUEST FOR PROPOSAL NO: 364967**

## **SOLICITATION/AWARD**

## **A.0 Introduction**

Hanford Mission Integration Solutions, LLC. (hereby HMIS, “Contractor” or “Buyer”) acting under its contract with the U.S. Department of Energy – Richland Operations Office (DOE-RL) located in Richland, WA, requests Offeror to submit a proposal for Labor Hour a type of subcontract to provide Quality Assurance Support.

## **A.1 Buyer Not Obligated- Irregularities and Notifications**

Buyer is not obligated to pay any costs incurred in the preparation and submission of Offeror’s proposal, nor required to enter into a subcontract or any other arrangement with Offeror.

## **A.2 Request for Proposal Addendums**

The Contract Specialist may issue one or more addendums to the Request for Proposal to make changes or to resolve any problems regarding the solicitation. The Contract Specialist will issue the amendment in time for prospective Offerors to incorporate any changes into their proposals. If this Request for Proposal is amended, then all terms and conditions that are not amended will remain unchanged. FAILURE TO ACKNOWLEDGE THE RECEIPT OF THE ADDENDUM AT THE DESIGNATED LOCATION BY THE SPECIFIED DATE AND TIME MAY RESULT IN REJECTION OF THE OFFER.

## **A.3 Proposal Submittal**

The proposal is due by 5/3/2023 12:00 PM.

The proposal shall be submitted via e-mail provided that it includes appropriate signatures where required.

**NOTE:** *Communications with any HMIS personnel except the above-named Contract Specialist concerning any aspect of this Solicitation may be grounds for disqualifying an Offeror from being considered for award.*

## **A.4 Late Proposals**

A proposal is considered late if it is received after the exact time and date specified for receipt and will not be considered unless:

- a. It was sent by telephone or fax, if authorized by the Contract Specialist, and it is determined that the late receipt was due solely to mishandling upon receipt; or
- b. It is the only proposal received.

## **A.5 Proposal Changes**

Any modification of a proposal, including the Contract Specialist’s request for “Best and Final Offer,” is subject to the same conditions as in the “Late Proposals” section above.

## **A.6 Withdrawal**

Offeror may withdraw its proposal by written or electronic notice received at any time prior to award.

## **A.7 North American Industry Classification System (NAICS) Code and Size Standard**

The Contract Specialist has determined that North American Industry Classification System (“NAICS”) Code 541611 applies to this acquisition. Therefore, the size standard for determining whether an Offeror is a small business in regard to this acquisition is \$24.5 Million.

If this solicitation is designated as a small business set-aside, by submitting a proposal or an offer to this solicitation, the Offeror certifies that they are a small business qualifying for the NAICS code and meeting the size standard noted above.

By submitting a proposal for this solicitation, Offeror agrees to indemnify, defend, and hold harmless Buyer for any and all liability, loss, damages, penalties, claims, costs, and expenses, including attorney fees and costs of legal action, litigation, or settlement, arising from, or relating to Offeror’s misrepresentation of its business size or status, regardless of whether Offeror’s misrepresentation was willful, intentional, or knowing.

## **A.8 Questions/Comments Regarding the Solicitation**

The Offeror must submit any comments or questions regarding the solicitation to the Contract Specialist no later than 4/26/2023 12:00 PM. The Contract Specialist will answer all questions in writing for the benefit of all prospective Offerors.

## **B.0 Basis for Award**

HMIS may award one or more subcontracts as a result of this solicitation. Award will be made to the Offeror who is considered to be the overall Best Value to the government. This solicitation provides the basis for HMIS evaluation. Offerors are also advised that HMIS reserves the right to award a subcontract based upon initial offers and without further discussions with Offerors. Offeror should provide their best price and technical offers initially.

## **B.1 Basis of Award- Tradeoff Selection Process**

Award may be made to the Offeror submitting the best proposal in which both cost/price and other specifically defined factors will be the basis of award. Proposals will be evaluated to determine the response that provides the best value to Buyer and the Government, considering cost/price and technical criteria; with technical rated higher than cost/price.

- Proposed personnel shall be Lead Auditor qualified in accordance with NQA-1.
- Company capability in performing independent assessments, including documenting issues, clear understanding of NQA-1 and independent oversight reports, for large scale nuclear relate and environmental remediation type project.
- Company approach regarding understanding of flow down of contract requirements to the implementation of documents, submittals and deliverables.
- Past performance providing independent assessments in a software program.

## **C.0 Proposal Instructions**

Follow the described proposal instructions.

## **C.1 Proposal Preparation**

Prepare the proposal simply and economically and provide a straightforward and concise presentation of the information requested in the Request for Proposal. Emphasize completeness and clarity. Do not submit elaborate brochures or other presentations that are neither required nor desired by the HMIS.

If the Offeror submits any data which it considers proprietary data as part of its proposal, the document transmitting the data or which contains the data, shall be boldly marked indicating that the data included is considered proprietary.

## **C.2 Proposal Content**

Proposals shall include the following elements and be organized in the manner listed below. Each volume of the proposal should be separate and complete. Omit all cost or pricing details from the technical proposal.

### **C.2.1 Volume I Technical Proposal Requirements**

HMIS will evaluate Offeror's technical capabilities/qualifications, as well as its pricing for the requirements as specified in the Statement of Work. Offeror's proposal must address the following:

- An acknowledgement that the Statement of Work is fully understood, and that the Offeror has resources qualified to perform the work.
- Resumes of proposed Key Personnel.
- If applicable, describe project organization structure that identifies relationships between the Prime Offeror, teaming partners, lower-tier subcontractors and proposed Key Personnel.
- Statement of compliance with subcontract insurance requirements as specified in the On-Site Work Provisions, including confirmation that all required insurance certificates will be provided prior to any on-site work.

### **C.2.2 Volume II Required Price Support Information**

The Offeror is required to submit information sufficient to determine that the prices or costs being charged are fair and reasonable. Such information may include pricing, sales, or cost information that is pertinent to establishing the pricing or costs being charged.

The Offeror grants the Buyer or its authorized representative(s) the right to examine, at any time before award, books, records, documents, or other directly pertinent records to verify the reasonableness of the price. For items priced using catalog or market prices, or law and regulation, access does not extend to cost or profit information or other data relevant solely to the Offeror's determination of the prices to be offered in the catalog or marketplace.

Any Subcontract that results from this Request for Proposal will be determined by the price the Offeror pays for material (without profit applied) plus fully burdened hourly labor rates, multiplied times the number of hours worked. Upon mutual agreement, this schedule of costs and rates will be the basis for pricing on any resulting Subcontract.

### **C.2.3 Volume III Pricing Backup**

Upon Buyer's determination of a successful Offeror, Buyer will request audited company financial reports for the previous three (3) year period, including balance sheets and income statements. The successful Offeror shall provide such information within 48 hours of the request.

In the event audited company financial reports are not consistent with the Offeror's customary accounting practice and in the absence of certified statements, the Buyer may request the following, listed in order of preference:

1. Parent guarantee;
2. Bond;
3. Irrevocable letter of credit;



4. Submission of uncertified financial statements, which shall be certified as being accurate and complete by an agent of Offeror's company, which may be subject to HMIS verification with the Internal Revenue Service (IRS).

If the selected successful Offeror is unable to provide the information required within the time frame identified or if the Buyer is unable to determine the successful Offeror as financially responsible for award of the Subcontract, Buyer, in its sole determination, may select another successful Offeror for the award.

### **C.3 Request for Schedule of Cost and Rates**

This is not a request for cost or pricing data, but notification to all Offerors that this information may be required prior to award unless the Subcontract is determined by the Buyer to be exempt from the requirements of 10 USC 2306(a).

### **C.4 Representations and Certifications**

HMIS relies upon Offeror's current representations and certifications within a Federal web-based system, the System for Award Management (SAM), that centralizes and standardizes the collection, storage and viewing of many of the representations and certifications required by the Federal Acquisition Regulations.

By submitting a proposal to HMIS in response to this solicitation, the Offeror is certifying that:

1. The representation and certification information in SAM is accurate and complete as of the date of the offer.
2. All statements and explanatory documentation submitted are current and accurate.
3. Offeror complies with all requirements of State of Washington statutes, ordinances, rules and regulations, codes, and orders related to equal employment opportunity and operation of non-segregated facilities.
4. All Offeror employees who may work on HMIS premises or on the Hanford Site are not under the influence of controlled substances, drugs, or alcohol. Offeror agrees to the testing of assigned employees under HMIS program for controlled substances.
5. Offeror's information in the Buyer registration system is current, accurate and complete and is no greater than 12 months old.
6. Offeror will update its information in SAM on at least an annual basis.

### **C.5 Anti-kickback Certifications**

By submitting a proposal response to this solicitation, the Offeror certifies that it has not:

1. Provided, attempted to provide, or offered to provide, any kickback.
2. Has not solicited, accepted, or attempted to accept any kickback.
3. Included, directly or indirectly, the amount of any kickback, in the Subcontract price proposed by the Offeror to the Buyer. (For definition of the term kickback, see 41 U.S.C. § 8701(2), Title 41 U.S.C. Chapter 87, Sections 701 and 8702).

By submitting a proposal in response to this solicitation, Offeror agrees to indemnify, defend, and hold harmless Buyer for any and all liability, loss, damages, penalties, claims, costs, and expenses, including attorney fees and costs of legal action, litigation, or settlement, arising from or relating to Offeror's failure to certify or false certification of compliance with any requirements of this Section, regardless of whether the failure or false certification was willful, intentional, or knowing.

## **C.6 Employment Eligibility Verification (E-Verify)**

HMIS anticipates that this award will be subject to the Employment Eligibility Verification requirements established in Federal Acquisition Regulations (FAR) clause 52.222-54, which requires the successful Offeror to enroll and utilize the E-Verify program. Enrollment is completed via the Internet at the Department of Homeland Security Web site at <http://www.dhs.gov/E-Verify>. This requirement is applicable to awards with a value greater than \$3,500 and considered commercial or noncommercial Services (except for commercial Services that are part of the purchase of a Commercial Off the Shelf (COTS) item (or an item that would be a COTS item, but for minor modifications) performed by the COTS provider and are normally provided for that COTS item) or Construction. Subcontractors who are only suppliers are not subject to E-Verify.

Buyer reserves the right, prior to award, to require Offeror to submit information which Buyer will use to make a determination whether Offeror has successfully enrolled in the E-Verify program. Such information may include a copy of the last three (3) pages of the enrollment form (i.e., pages 11, 12, and 13) and any other information that may be required to make the appropriate determination. Offeror agrees to promptly provide such information upon request and prior to award. If Buyer requests such information, award shall be conditioned on Offeror's submission of information Buyer deems acceptable.

By submitting a proposal in response to this solicitation, Offeror agrees to indemnify, defend, and hold harmless Buyer for any and all liability, loss, damages, penalties, claims, costs, and expenses, including attorney fees and costs of legal action, litigation, or settlement, arising from or relating to Offeror's failure to certify or false certification of compliance with any requirements of this Section, regardless of whether the failure or false certification was willful, intentional, or knowing.

## **C.7 Additional Information**

In order for HMIS to adequately evaluate the proposal, some additional information is required. Please complete and return all forms and documents listed in Exhibits of this Request for Proposal.

## **C.8 Acceptance of Terms and Conditions and Technical Requirements**

The subcontract resulting from this Request for Proposal will be substantially the same as the draft Subcontract. Offeror must describe any exceptions (on the Agreement Exceptions Exhibit form) to the terms and conditions and technical requirements. HMIS considers compliance with the terms and conditions and technical requirements of the Subcontract to be essential. In case of doubt, Offeror should request clarification from the Contract Specialist. If any exceptions are taken to the terms and conditions and/or technical requirements of the Solicitation, the pricing shall be based on the requirements of the Solicitation and the exception(s) priced as alternates. If the proposal is based only on the proposed exceptions, HMIS may determine the proposal to be non-responsive.

Unless otherwise noted in the proposal, Offeror's submission of a proposal signifies unqualified acceptance of all of the terms and conditions and technical requirements of the Subcontract that are contained in or referenced in this Solicitation.

## **C.9 Proposal Validity Period**

Offeror's proposal shall remain firm for 60 days after the proposal due date.

## **D.0 Notices**

In order for HMIS to adequately evaluate the proposal, some additional information is required. Please complete and return all forms and documents listed in Notices of this solicitation.

### **D.1 Financial Capability Determination Information**

HMIS reserves the right, prior to award, to require Offeror to submit information which HMIS will use to make a determination whether Offeror has the financial capability to perform the contemplated subcontract. Such information may include, but not be limited to: annual reports; lines of credit with financial institutions and suppliers; and any other information that may be required to make the appropriate determination.

### **D.2 Foreign Nationals**

If the Offeror intends to propose any foreign national (non-US citizen) personnel, that information must be a part of the Offeror's proposal. They will be processed in accordance with HMIS Unclassified Visits and Assignments by Foreign Nationals procedures prior to commencement of the work. This process could add lead-time from a few days up to several weeks depending on the country of origin, Hanford facilities they will access, and the subject matter involved.

### **D.3 Proprietary Data Submittals**

If Offeror submits any data which is considered to be "Proprietary Data," the document transmitting the data, or which contains the data, shall be boldly marked indicating that the data is considered proprietary.

Offerors who include in their proposals any data that they do not want disclosed to the public for any purpose or used by Buyer or the Government except for evaluation purposes shall mark the title page of their proposal with the following legends: USE AND DISCLOSURE OF DATA: "This proposal includes data that shall not be disclosed outside Buyer or the Government and shall not be duplicated, used, or disclosed – in whole or in part – for any purpose other than to evaluate this proposal. If, however, a Subcontract is awarded to this Offeror as a result of – or in connection with – the submission of this data, Buyer and the Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting Subcontract. This restriction does not limit Buyer's or the Government's right to use information contained in this data if it is obtained from another source without restriction. The data subject to this restriction are contained in sheets" **and** "Use or disclosure of data contained on this sheet is subject to the restriction on the title page of this proposal."

### **D.4 Service Animal Requirement**


If any of the individuals performing work under the awarded subcontract require the use of a service animal to perform their work or tasks, this information is to be included in the proposal.

## Exhibits

The list of Exhibits as outlined in the table below are required as a part of your response to the identified Request for Proposal. Failure to provide and disclose any information requested below may be grounds for disqualification if identified prior to award or termination, if identified after award.

**Note:** *Additional attachments may be outlined within the Request for Proposal.*

It is recommended that you refer back to the Request for Proposal document to ensure all attachments have been identified and included in your proposal response.

Exhibit No.	Title	GO TO
001	Labor Hour Proposal Breakdown	 Labor Hour Proposal Breakdown.xls
002	Proposed Lower-Tier Subcontractors	<a href="#">Exhibit 002</a>
003	Past Performance	<a href="#">Exhibit 003</a>
004	Conflict of Interest Disclosure and Representation	<a href="#">Exhibit 004</a>
005	Foreign National Disclosure	<a href="#">Exhibit 005</a>
006	Representation and Certification	<a href="#">Exhibit 006</a>
007	Agreement, Exceptions, and Assumptions	<a href="#">Exhibit 007</a>
008	Organizational Conflict of Interest Disclosure	<a href="#">Exhibit 008</a>
009	Executive Compensation	<a href="#">Exhibit 009</a>
010	FAR 52.203-11 Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (Sept 2007)	<a href="#">Exhibit 010</a>
011	Certification Regarding Debarment and Suspension	<a href="#">Exhibit 011</a>

### Exhibit 001 – Compensation Schedule – Labor Hour Proposal Breakdown

Please find Exhibit 001 – *Compensation Schedule – Labor Hour Proposal Breakdown* included with this Solicitation as an Excel file.

[illegible]

**Figure 1. Picture of the Labor Hour Proposal Breakdown Spreadsheet**

**Exhibit 002 – Proposed Lower-Tier Subcontractors**

Please type or print the names and contact information for all of the lower-tier Subcontractors. Use additional sheets if necessary.

<b>LOWER-TIER SUBCONTRACTOR/SUPPLIER</b>  <b>CONTACT NAME:</b>  <b>TELEPHONE NO.:</b>	<b>DESCRIPTION OF SERVICES TO BE PROVIDED:</b>	<b>**APPROXIMATE AWARD AMOUNT:</b>
<b>NAME AND ADDRESS OF OFFEROR:</b>  	<b>NAME OF SIGNER <i>(Print)</i>:</b>  	
<b>OFFEROR: <i>(Signature of person authorized to sign)</i></b>  	<b>TITLE OF SIGNER <i>(Print)</i>:</b>  	
	<b>DATE:</b>  	

\*\* APPROXIMATELY \_\_\_\_% OF TOTAL SUBCONTRACTED AMOUNT TO BE PERFORMED BY LOWER-TIER SUBCONTRACTOR. PERCENTAGE OF LOWER-TIER SUBCONTRACTED WORK SHALL NOT EXCEED 50% FOR SMALL BUSINESS OR 70% FOR A LARGE BUSINESS (FOR SERVICES) OR 85% (FOR CONSTRUCTION) OF TOTAL SUBCONTRACT AMOUNT. SUBCONTRACTOR'S ARE REQUIRED TO NOTIFY CONTRACT SPECIALIST WHENEVER \_\_\_\_% OF LOWER-TIER WORK IS ANTICIPATED OR REACHES 70% (FOR SERVICES) OR 85% (FOR CONSTRUCTION).

### Exhibit 003 – Past Performance

Please type or print at least two (2) and as many as five (5) references to recently completed or substantially complete contracts with requirements similar to those described within this solicitation.

CLIENT NAME CONTACT NAME TELEPHONE NO.	CONTRACT NO. CONTRACT DATE	START DATE/ END DATE CONTRACT VALUE	CONTRACT TERMINATED?  (Y/N) <i>Explain in attachment</i>
NAME AND ADDRESS OF SUBCONTRACTOR	NAME OF SIGNER <i>(Print):</i>		
	TITLE OF SIGNER <i>(Print):</i>		
SUBCONTRACTOR <i>(Signature of person authorized to sign)</i>	DATE		

## Exhibit 004 – Conflict of Interest Disclosure and Representation

It is Hanford Mission Integration Solutions, LLC (HMIS) policy to avoid situations which place a Subcontractor or Subcontractor's employees in a position in which it may not be able to participate or perform on an equal basis for Buyer-controlled work with other qualified contractors due to impermissible Conflicts of Interest. See, [FAR 3.1101](#). To address this matter, the Subcontractor is to consider the relevant circumstances surrounding this effort to determine if there are any past, present or future interests (financial, contractual, organizational or personal) that could be viewed as a conflict of interest. In addition, the Subcontractor is to consider potential conflicts of interest of all personnel working in a staff augmentation or direct services role for familial relationships at Hanford in answering the following.

A conflict of interest occurs when one's personal or financial interest could interfere with the ability to make object business decisions of behalf of the Company. Employees must avoid, at all times, situations that give rise to such bias or even the appearance of such bias. It is required that conflicts are identified, declared, and if required, mitigated.

### DEFINITIONS:

- **Company** - Includes your responsible company and company affiliates.
- **Conflict of Interest(s)** - When one's personal or financial interest may interfere with the ability to make business decisions on behalf of the company.
- **Perceived Conflict of Interest** - Situation that could give rise to the perception that a conflict exists and may interfere with the ability to make business decisions on behalf of the Company.
- **Members of Household/Family Members** - Employee's spouse, child parent, brother, sister, mother-in-law, father-in-law, sister-in-law, brother-in-law, son-in-law, daughter-in-law, roommates, significant others, cousins, aunts, uncles, dating partners, or any other person where the relationship could lend itself to an actual or perceived Conflict of Interest.

Government agencies affiliated with Hanford, for purposes of this COI Disclosure includes -

- Department of Energy
- U.S. Environmental Protection agency
- U.S. Department of Interior
- Defense Nuclear Facilities Safety Board
- Washington State Department of Ecology
- Washington State Department of Health
- Richland Fire Department
- Benton County Sheriff Office

Subcontractor hereby certifies that ☐ **there is not** or ☐ **there is** a potential conflict of interest by the company or company personnel, to include individuals that will be working under any possible subcontract. If the answer is affirmative, the details associated with the real or potential concerns are disclosed and highlighted in the Subcontractor's proposal. Any such disclosure may result in the need for additional discussions relative to the Subcontractor's continued participation in this effort. Failure to disclose any real or potential concerns may be grounds for disqualification if identified prior to award or termination if identified after award.

NAME AND ADDRESS OF OFFEROR:	NAME OF SIGNER ( <i>Print</i> ):
OFFEROR: ( <i>Signature of person authorized to sign</i> )	TITLE OF SIGNER ( <i>Print</i> ):
	DATE:





**Exhibit 005 – Foreign National Disclosure**

It is Hanford Mission Integration Solutions, LLC (HMIS or Buyer) policy to require that Subcontractors disclose any foreign national (non-US citizen) personnel that will support the services as outlined in the attached Statement of Work. All foreign nationals will be processed in accordance with HMIS Unclassified Visits and Assignments by Foreign Nationals procedures prior to commencement of the work. This includes any Subcontractor work scope and pertinent business information to which foreign nationals may be assigned whether on or off the Hanford site. This process could add from a few days up to several weeks of lead-time depending on the country of origin, Hanford facility to be accessed, and the subject matter involved.

A foreign national is defined as any individual who is not a U.S. Citizen. Immigrant aliens and lawful permanent residents (Green card holders) are not U.S. citizens. However, an individual who has a dual citizenship with a foreign country and the United States is not considered a foreign national but is a U.S. citizen.

Subcontractor hereby certifies that ☐there are not or ☐there are foreign nationals proposed by the Subcontractor in support of the requested services as outlined in the Statement of Work. Any such disclosure may result in the need for additional discussions relative to the Subcontractor's continued participation in this effort. Failure to disclose any real or potential concerns may be grounds for disqualification if identified prior to award or termination if identified after award.

<b>NAME AND ADDRESS OF OFFEROR:</b>	<b>NAME OF SIGNER (<i>Print</i>):</b>
<b>OFFEROR:</b> ( <i>Signature of person authorized to sign</i> )	<b>TITLE OF SIGNER (<i>Print</i>):</b>
	<b>DATE:</b>

**Exhibit 006 – Representation and Certification**

Offeror hereby certifies their socioeconomic size status as ☐ **SMALL** or ☐ **LARGE** based on the North American Industry Classification System (NAICS) \_\_\_\_\_ (input NAICS identified in the subject Request for Proposal). Offeror also identifies as:

☐ Woman Owned, ☐ HUBZone, ☐ Service-Disabled Veteran Owned, ☐ Veteran Owned, ☐ Small Disadvantage Business, ☐ Other (list all that apply) \_\_\_\_\_.

Offeror certifies that the disclosure of size status as listed above corresponds to the size status as identified in the System for Award Management (SAM.gov) in reference to identified NAICS for the subject Request for Proposal. Any such disclosure may result in the need for additional discussions relative to the Offeror's continued participation in this effort. Failure to disclose any real or potential concerns may be grounds for disqualification if identified prior to award or termination if identified after award.

<b>NAME AND ADDRESS OF OFFEROR:</b>	<b>NAME OF SIGNER</b> <i>(Print)</i> :
<b>OFFEROR:</b> <i>(Signature of person authorized to sign)</i>	<b>TITLE OF SIGNER</b> <i>(Print)</i> :
	<b>DATE:</b>

**Please complete section below if your company is a Joint Venture.**

Offeror certifies that they ☐ **Do** or ☐ **Do Not** have an active Joint Venture agreement. If Offeror does have an active joint venture, please identify the following:

Joint Venture Name: \_\_\_\_\_

Please list companies that make up the Joint Venture and the socioeconomic status of each:

Company: \_\_\_\_\_ hereby certifies their socioeconomic size status as ☐ **SMALL** or ☐ **LARGE** based on the North American Industry Classification System (NAICS) \_\_\_\_\_ (input NAICS identified in the subject Request for Proposal). Offeror also identifies as:

☐ Woman Owned, ☐ HUBZone, ☐ Service-Disabled Veteran Owned, ☐ Veteran Owned, ☐ Small Disadvantage Business, ☐ Other (list all that apply) \_\_\_\_\_.

Company: \_\_\_\_\_ hereby certifies their socioeconomic size status as ☐ **SMALL** or ☐ **LARGE** based on the North American Industry Classification System (NAICS) \_\_\_\_\_ (input NAICS identified in the subject Request for Proposal). Offeror also identifies as:

☐ Woman Owned, ☐ HUBZone, ☐ Service-Disabled Veteran Owned, ☐ Veteran Owned, ☐ Small Disadvantage Business, ☐ Other (list all that apply) \_\_\_\_\_.



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If you are submitting as a Joint Venture, please provide the date of 1<sup>st</sup> award and the number of contracts awarded under the agreement to date. \_\_\_\_\_

**\*\*Offeror must provide a copy of the SBA Joint Venture Agreement as a part of the proposal package\*\***

Offeror certifies that they ☐ **Do** or ☐ **Do Not** have an active SBA Mentor Protégé agreement. If Offeror does have an active SBA Mentor/Protégé agreement, please identify the following:

Mentor or Protégé Name: \_\_\_\_\_

Date the Mentor/Protégé was approved under the Small Business Administration: \_\_\_\_\_

**\*\*Offeror must provide a copy of the SBA Mentor/Protégé Agreement and SBA Program Mentor/Protégé Letter as a part of the proposal package\*\***

<b>NAME AND ADDRESS OF OFFEROR:</b>	<b>NAME OF SIGNER (<i>Print</i>):</b>  _____
<b>OFFEROR:</b> ( <i>Signature of person authorized to sign</i> )	<b>TITLE OF SIGNER (<i>Print</i>):</b>  _____
	<b>DATE:</b>  _____



**Exhibit 007 – Agreement, Exceptions, and Assumptions**

Any exceptions to the proposed subcontract terms and conditions must be indicated below. Hanford Mission Integration Solutions, LLC (HMIS or Buyer) Hanford Mission Integration Solutions, LLC (HMIS or Buyer), however, reserves the right to disqualify offers which deviate from the Solicitation. If the Offeror has no exceptions or assumptions, please write “None” below.

**NAME AND ADDRESS OF OFFEROR:**

**NAME OF SIGNER *(Print)*:**

**TITLE OF SIGNER *(Print)*:**

**OFFEROR:** *(Signature of person authorized to sign)*

**DATE:**

**Exhibit 008 – Organizational Conflict of Interest Disclosure Statement**

It is Hanford Mission Integration Solutions, LLC (HMIS or Buyer) Hanford Mission Integration Solutions, LLC (HMIS or Buyer) policy to avoid situations, which place an Offeror in a position wherein it may not be able to compete on an equal basis for Buyer's-controlled work with other qualified Offerors. This representation, and the information disclosed thereby, will serve to advise Buyer whether or not an Offeror's judgment may be biased because of any past, present, or currently planned interest, financial or otherwise, the Offeror may have or appear to have which relates to the work to be performed under a subcontract which may result from this solicitation, thus providing the Offeror an unfair competitive advantage over others. The term "Offeror" herein means the proposing entity or any of its affiliates or proposed consultants or Subcontractors of any tier. Therefore:

Offeror shall provide Buyer a statement which describes in a concise manner, all relevant facts concerning any past, present, or currently planned interest (financial, contractual, organizational, or otherwise) relating to the work described in the statement of work of this solicitation. Offeror may also provide relevant facts that show how its organizational structure and/or management systems limit its knowledge of affiliates or other divisions or sections of the proposing entity and how that structure or system would avoid or mitigate an organizational conflict of interest.

Offeror shall assure that any consultants and/or Subcontractors identified in its proposal which will perform part or all of any resulting subcontract submit the same information as required above, either as part of the Offeror's proposal or directly to Buyer, prior to the time and date set forth for the receipt of proposals, including identification of the solicitation number and the (Offeror's) proposal to which it relates.

Offeror shall assure that each of its chief officers or directors, if any, who will be directly involved in the actual performance of the subcontract, submit such information.

Offeror shall promptly provide to Buyer information concerning any changes, including additions, in its relevant facts reported, that occur between the time of submission of its proposal and the award of a subcontract or the time the Offeror is notified that it is no longer being considered for an award.

Buyer will review the information submitted and may require additional relevant information or certifications from the Offeror. All such information, and any other relevant information known to Buyer, will be used to determine whether an award to the Offeror may create an organizational conflict of interest with respect to the Offeror's (1) bias caused by financial, contractual, organizational, or other interests which relate to the work to be performed under the subcontract, resulting in Offeror being unable to render impartial, technically sound, and objective assistance or advice, or (2) obtaining an unfair competitive advantage over other parties. If Buyer determines a conflict exists which would require some action to mitigate an actual or potential conflict of interest that would otherwise represent an unacceptable risk to Buyer, it may, at its sole discretion: (1) impose appropriate terms or conditions necessary to avoid or mitigate the conflict, (2) disqualify the offer, or (3) proceed with an award despite the conflict.

Offeror refusal to submit the representation and/or to provide the disclosure or any additional information requested by Buyer may result in disqualification of the Offeror for an award. Misrepresentation of material facts or other reported information may also result in disqualification. If any such misrepresentation is discovered following award, Buyer may terminate the contract for default or seek other remedies including actions pursuant to 18 U.S.C. 1001.

Depending on the nature of the subcontract activities, Offeror may, because of the existence of possible organizational conflicts of interest, propose to exclude specific kinds of work from the statement of work contained in the original solicitation, unless the solicitation specifically prohibits such exclusion. Any proposed exclusion may be considered by Buyer in the evaluation of proposals but may ultimately determine the proposal to be unacceptable.

No work shall be performed, and Buyer will not authorize work to begin, until representations and disclosure information has been evaluated. Buyer may also, at its option, permit missing representations or disclosure information to be provided by an Offeror at any time during the pre-award process.



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In lieu of or in addition to the above and/or when requested by Buyer, Offeror shall provide a certification similar to the following, altered only to reflect the relevant facts:

**ORGANIZATIONAL CONFLICT OF INTEREST DISCLOSURE STATEMENT**

I hereby certify that, to the best of my knowledge and belief, no facts exist relevant to any past, present, or currently planned interest or activity (financial, contractual, personal, organizational, or otherwise) that relate to the proposed work; and bear on whether I and the Offeror have a possible conflict of interest with respect to being able to render impartial, technically sound, and objective assistance or advice, or being given unfair competitive advantage.

<b>NAME AND ADDRESS OF OFFEROR:</b>	<b>NAME OF SIGNER (<i>Print</i>):</b>
<b>OFFEROR:</b> ( <i>Signature of person authorized to sign</i> )	<b>TITLE OF SIGNER (<i>Print</i>):</b>
	<b>DATE:</b>

**Exhibit 009 – Executive Compensation**

**HMIS First-Tier Subcontractor Transparency Act Reporting Form**

**1. Subcontractor Information**

Name of Subcontractor

Subcontractor's Physical Address:

Street

City, State & **Nine-Digit** Zip Code

Country

Congressional District

Subcontractor's Fiscal Year

**2. Place of Performance**

Street

City, State & **Nine-Digit** Zip Code

Country

Congressional District

**3. Subcontractor's DUNS +4 Number**

Performing Entity

Parent Company

**4. Subcontractor's Top Five Most Highly Compensated Officers Reporting**

- a) During the previous tax year was the Subcontractor's gross income from all sources under \$300,000? ☐ Yes – skip to signature block  
☐ No – answer
- b) During preceding fiscal year was 80% or more of Subcontractor's annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements? ☐ 4(b) Yes – answer  
☐ 4(c) No – skip to signature block
- c) During preceding fiscal year did Subcontractor have \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements? ☐ Yes – answer 4(d)  
☐ No – skip to signature block
- d) Does the public have access to information about the compensation of Subcontractor's executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 USC 78m(a), 780(d)) or section 6104 of the Internal Revenue Code of 1986 ☐ Yes – skip to signature block  
☐ No – complete 4(e)

e) Subcontractor's Top Five Most Highly Compensated Officers

Officer Name

Total Compensation for Preceding Fiscal Year

1

2

3

4

5

Print Name & Title of Representative Completing Form

Signature of Authorized Representative

Date



**HMIS First-Tier Subcontractor Transparency Act Reporting Form Guidance  
FAR 52.204-10 Reporting Executive Compensation and First-Tier Subcontract  
Awards (Oct 2016)**

Subcontractor's Compensation Reporting Guidelines

Upon award of any Subcontractor award of \$30,000 or more, and annually thereafter, UDRI as the prime contractor must report the total compensation and names of the Subcontractor's top five most highly compensated executives if:

- (a) In the Subcontractor's preceding fiscal year, the Subcontractor received:
  - (i) 80% or more of its annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements, and other forms of Federal financial assistance; and
  - (ii) \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements, and other forms of Federal financial assistance; and
- (b) The public does not have access to information about the compensation of the executives through period reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 USC 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.

If a Subcontractor in the previous tax year had gross income from all sources under \$300,000, the prime contractor does not need to report awards for that subcontractor.

This information will be reported through the Federal Funding Accountability and Transparency Act Subaward Reporting System. The public may view first-tier subcontract award data at <http://usaspending.gov>.

Definitions

As used in FAR 52.204-10:

*Executive* means officers, managing partners, or any other employees in management positions.

*First-tier subcontract* means a subcontract awarded directly by the Contractor for the purpose of acquiring supplies or services (including construction) for performance of a prime contract. It does not include the Contractor's supplier agreements with vendors, such as long-term arrangements for materials or supplies that would benefit multiple contracts and/or the costs of which are normally applied to a Contractor's general and administrative expenses or indirect cost.

*Total compensation* means the cash and noncash dollar value earned by the executive during the Contractor's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

- (1) Salary and bonus.
- (2) Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Financial Accounting Standards Board's Accounting Standards Codification (FASB ASC) 718, Compensation-Stock Compensation.



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- (3) Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives and are available generally to all salaried employees.
- (4) Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- (5) Above-market earnings on deferred compensation which is not tax-qualified.
- (6) Other compensation, if the aggregate value of all such other compensation (e.g., severance, termination payments, value of life insurance paid on behalf of the employee, perquisites, or property) for the executive exceeds \$10,000.

**Further information about the Transparency Act is available at: [www.USAspending.gov](http://www.USAspending.gov) & [www.whitehouse.gov/omb/open](http://www.whitehouse.gov/omb/open)**

**Exhibit 010 – FAR 52.203-11 Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (Sept 2007)**

In accordance with FAR 52.203-12, Limitations on Payments to Influence Certain Federal Transactions (Oct 2010) contained in the General Provisions attached to this solicitation, Offerors who are submitting a proposal for a subcontract expected to exceed \$150,000.00 are required to submit a declaration, including certification and disclosure in paragraphs (c) and (d) of the provision at FAR 52.203-11 as shown below.

- (a) Definitions. As used in this provision-"Lobbying contact" has the meaning provided at 2 U.S.C. 1602(8). The terms "agency," "influencing or attempting to influence," "officer or employee of an agency," "person," "reasonable compensation," and "regularly employed" are defined in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions"(52.203-12).
- (b) Prohibition. The prohibition and exceptions contained in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12) are hereby incorporated by reference in this provision.
- (c) Certification. The offeror, by signing its offer, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of this contract.
- (d) Disclosure. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The offeror need not report regularly employed officers or employees of the offeror to whom payments of reasonable compensation were made.
- (e) Penalty. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by 31 U.S.C. 1352. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.



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**SIGNATURE/CERTIFICATION:**

By signing below, the bidder/offeror certifies, under penalty of law, that the above certification is accurate, current, and complete. By signing the below, the Offeror further agrees to include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$150,000 shall certify and disclose accordingly as required in FAR 52.203-12.

**COMPANY NAME:**

**NAME OF AUTHORIZED  
REPRESENTATIVE (Type/Print):**

**AUTHORIZED REPRESENTATIVE TITLE  
(Type/Print):**

**AUTHORIZED REPRESENTATIVE  
SIGNATURE:**

**DATE:**



**Exhibit 011 –Certification Regarding Debarment and Suspension**

In accordance with FAR 52.209-6, Protecting the Government’s Interest When Subcontracting With Contractor Debarred, Suspended, or Proposed for Debarment (Oct 2015) (c) contained in the General Provisions attached to this solicitation, Offerors who are submitting a proposal for a subcontract expected to exceed \$35,000.00 for other than commercially available off the shelf items are required to submit to HMIS, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principals, is or is not debarred, suspended, or proposed for debarment by the Federal Government.

**The Offeror certifies that the Offeror and/or any of its Principals—**

- ☐ Are presently debarred, suspended, proposed for debarment by the Federal Government.
- ☐ Are **not** presently debarred, suspended, proposed for debarment by the Federal Government.

**SIGNATURE/CERTIFICATION:**

By signing below, the bidder/offeror certifies, under penalty of law, that the above certification is accurate, current, and complete.

**COMPANY NAME:**

**NAME OF AUTHORIZED  
REPRESENTATIVE (Type/Print):**

**AUTHORIZED REPRESENTATIVE TITLE  
(Type/Print):**

**AUTHORIZED REPRESENTATIVE  
SIGNATURE:**

**DATE:**

**E.0 Award**

<b>Subcontract No.</b> TBD <b>Modification No.</b> TBD	
<b>Issued By:</b> Hanford Mission Integration Solutions, LLC PO Box 943 Richland, WA 99352 Contract Specialist Name: Kristina Hamby MSIN: G3-62 Email: Kristina_G_Hamby@rl.gov Phone Number: (509) 376-3011	<b>Subcontractor:</b> TBD  <b>Point of Contact:</b> <b>Email:</b> <b>Phone Number:</b>

This Subcontract is effective as of TBD, between Hanford Mission Integration Solutions, LLC (HMIS, Contractor or Buyer) and TBD ("SUBCONTRACTOR") who hereby agrees that all work specified below, which is a portion of the goods and services to be provided by HMIS for the United States Department of Energy, shall be performed by the SUBCONTRACTOR in accordance with all the provisions of the Subcontract.

**E.1 Statement of Work**

Except as specified elsewhere in the subcontract, Subcontractor shall furnish all labor and materials necessary and required to satisfactorily perform: Quality Assurance Support Dated: April 6, 2023 Revision: 0. The Statement of Work is incorporated into this subcontract along with all of the other clauses and terms identified herein.

**E.2 Period of Performance**

The term of this Subcontract shall be from TBD through May 31, 2024 unless extended by the parties or terminated by other provisions of this Subcontract. Expiration of the term shall not affect any outstanding releases or open tasks.

**E.3 Contract Type**

Labor Hour

**E.4 Total Value of Subcontract**

Not to Exceed \$TBD.

**E.5 Compensation**

As full consideration for the satisfactory performance by Subcontractor of this Subcontract, HMIS shall pay to Subcontractor compensation in accordance with the prices set forth in the Subcontract consistent with the payment provisions of this Subcontract. TBD

**E.6 Payment Terms**

Payment will be made within 30 calendar days after receipt of a properly prepared invoice unless otherwise agreed to between Subcontractor and HMIS.

## E.7 Authorized Personnel

Only the following named individuals are authorized to make changes to this Subcontract:

Contract Specialist: Kristina Hamby  
Procurement Manager: Keisha Garcia

Email: Kristina\_G\_Hamby@rl.gov  
Email: Keisha\_R\_Garcia@rl.gov

## E.8 Designation of Technical Representative

The Contract Specialist hereby designates the following as the Buyer's Technical Representative (BTR) for this Subcontract: TBD

The BTR is responsible for monitoring and providing technical guidance for this Subcontract. Questions or concerns of a technical nature should be directed toward the BTR. The BTR is also responsible for appropriate surveillance of the Subcontractor's representative while on site. However, in no event will an understanding, agreement, modification, change order, or any deviation from the terms of this Subcontract be effective or binding upon the Buyer unless formalized by proper contractual documents executed by the Contract Specialist prior to completion of this Subcontract.

On all matters that pertain to Subcontract terms, the Subcontractor shall contact the Contract Specialist specified within this Subcontract. When in the opinion of the Subcontractor, the BTR requests or directs efforts outside the existing scope of the Subcontract; the Subcontractor shall promptly notify the Contract Specialist in writing. **The BTR does not possess any explicit, apparent or implied authority to modify the Subcontract.** No action should be taken until the Contract Specialist makes a determination and modifies the Subcontract in writing.

## E.9 Key Personnel

The personnel listed below are considered essential to the work being performed under this Subcontract Release. Before removing, replacing, or diverting any of the listed or specified personnel, the Subcontractor must: (1) Notify the Buyer reasonably in advance; (2) submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on this contract; and (3) obtain the Buyer's written approval.

If any of the below individuals are unavailable for assignment for work under this Subcontract Release, the Subcontractor, with written approval from the Buyer, shall replace such individual with an individual equal in abilities and qualifications.

The list of personnel may, with the consent of the contracting parties, be amended from time to time during the course of the contract to add or delete personnel.

## E.10 Conflict of Interest

HMIS relies upon the Subcontractor's current Conflict of Interest (COI) disclosure representation and requires continual compliance with all requirements of HMIS policy to avoid situations which place a Subcontractor or Subcontractor's employee in an unfavorable position where a COI or perceived COI could impede the performance of the subcontract. Should the Subcontractor or Subcontractor's employee(s) have a change in their COI status during the Subcontract period of performance, the Subcontractor shall notify the cognizant Contract Specialist immediately.

A conflict of interest occurs when one's personal or financial interest could interfere with the ability to make object business decisions of behalf of the Company. Employees must avoid, at all times, situations

that give rise to such bias or even the appearance of such bias. It is required that conflicts are identified, declared, and if required, mitigated.

**DEFINITIONS:**

- Company - Includes your responsible company and company affiliates.
- Conflict of Interest(s) - When one's personal or financial interest may interfere with the ability to make business decisions on behalf of the company.
- Perceived Conflict of Interest - Situation that could give rise to the perception that a conflict exists and may interfere with the ability to make business decisions on behalf of the Company.
- Members of Household/Family Members - Employee's spouse, child parent, brother, sister, mother-in-law, father-in-law, sister-in-law, brother-in-law, son-in-law, daughter-in-law, roommates, significant others, cousins, aunts, uncles, dating partners, or any other person where the relationship could lend itself to an actual or perceived Conflict of Interest.



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## **CONTRACT ADMINISTRATION DATA**



**F.0 Invoices****F.1 Invoice Instructions – T&M/LHC**

(HM008)

Original invoices and supporting documentation shall be submitted no more than once a calendar month electronically to Accounts Payable (hmis\_ap\_invoices@rl.gov) with a copy to the Contract Specialist unless otherwise directed by the Contract Specialist. Please do not submit hard copies unless requested by the Contract Specialist.

**Invoice Payment Terms.** The Subcontractor shall prepare all invoices in a form satisfactory to and approved by the Authorized Contracts Specialist. Except to the extent expressly stated elsewhere in this Subcontract, the invoice is payable thirty (30) calendar days after receipt by the BUYER of a properly marked and submitted invoice. All unit pricing and payments made shall be in United States dollars only, in the forms of cash, check, or electronic transfer as may be agreed upon. Remittance will be made only to the remittance address on file for the Subcontractor. Invoices from third parties or with different remittance instructions or addresses will not be processed. Invoices may be submitted electronically, if in an acceptable format. All invoice requirements still apply to electronic invoices.

**Invoice Certification.** Submittal of an invoice constitutes the SUBCONTRACTOR's certification that materials, work and/or services have been delivered as specified on the invoice in accordance with the Subcontract. This invoice certification additionally represents that all invoiced hours and materials are true, accurate and correctly represent the invoiced costs to accomplish this Work on the Subcontract. Falsely invoicing costs may result in civil or criminal penalties as a violation of the Federal False Claims Act (31 USC 37296).

**Minimum Invoice Requirements.** The invoice shall identify the following information:

- Each Invoice must have a unique invoice number
- The Subcontractor's name, invoice number, Subcontract number, and Release number must be in the subject line of the e-mail message use to submit the electronic invoice.
- The Subcontractor's name and telephone number of a representative available to respond to invoice questions.
- The total amount due for the billing period (this amount shall be separate from cumulative amounts or subtotals included on the invoice).
- A cost summary identifying all cost elements being invoiced. Cumulative values (i.e., invoiced to date values) for each item being invoiced are requested to be included as part of the invoice submission.
- Each invoice must include a separate line item for sales tax unless an exemption from sales tax is specifically cited in the body of the Subcontract. Invoices that do not include a separate line item for sales tax will not be paid and will be returned to the Subcontractor.
- The Subcontractor must provide itemized receipts, unless justification is provided explaining why itemized receipts cannot be provided. Credit card statements are not acceptable as invoice supporting documentation.
- A synopsis with sufficient details to describe the work performed within the Period of Performance of the invoice.
- Timekeeping Records shall be provided with each invoice submittal. Timekeeping records submitted may be a system generated document, or equivalent, that identifies the project (job) number, employee name, dates worked and all associated daily hours and totals. These records

shall be attached to the invoice and be included as part of the Invoice Certification requirement Identified within this document. Timekeeping records shall be in accordance with “Subcontractor Timekeeping Records Signature Requirements” outlined in the section below.

- A corresponding description of each item billed and the associated amount.

**Subcontractor Timekeeping Records Signature Requirement.** The Subcontractor shall submit timecards for hourly employees for non-fixed-price and cost reimbursable subcontracts and non-fixed-price and cost reimbursable sub tiers. Cost reimbursable type subcontracts are defined by FAR 16.301 and non-fixed-price subcontracts are set forth in FAR 16.600 and includes Time-and-Materials and Labor-hour subcontracts. The subcontract and lower-tier timecards for these subcontract types must be obtained by HMIS prior to the Subcontractor’s payment of the costs. The timecards must reflect actual hours worked. The Subcontractor timecards must be signed by the Subcontractor employee and certified by the Subcontractor employee’s supervisor. The Subcontractors and lower-tiers performing work under cost-reimbursable and non-fixed-price subcontracts shall maintain adequate timekeeping procedures, controls, and processes for billing Government work. The Subcontractor shall, at least once every three (3) years, conduct a labor audit of cost reimbursable Subcontractors and lower tiers. The audit shall be conducted to either Institute of Internal Auditors standards (if conducted internally) or GAGAS (if conducted externally), unmodified. This clause should be flowed down to all cost reimbursable type and non-fixed price subcontracts and sub tiers.

**Fully Burdened Hourly Rate.** Unless specified otherwise, the invoiced amount shall be computed by multiplying the appropriate hourly rate prescribed in the Subcontract’s Rate Schedule by the number of direct billable labor hours. The rates shall include wages, indirect costs, general and administrative expense, and profit.

**Provisional Indirect Billing Rates.** Until final annual indirect cost rates are established for any period, the Buyer shall reimburse the Subcontractor at provisional billing rates established by the Buyer’s authorized contract specialist, or Administrative Contracting Officer, or by an authorized representative (the cognizant auditor), subject to adjustment when the final indirect rates are established. These provisional indirect billing rates:

- Shall be the anticipated final rates; and
- May be prospectively or retroactively revised by mutual agreement, at either party’s request, to prevent substantial overpayment or underpayment.

**Reimbursement of Costs.** Reimbursable costs will be determined allowable by the BUYER’s authorized contract specialist in accordance with Federal Acquisition Regulation (FAR) Subpart 31.2 in effect on the date of this Subcontract and the terms of this Subcontract.

**Rejection of Invoices.** Any invoice submitted, which fails to comply with the terms of this Subcontract, including the requirements of form and documentation, may be rejected, and returned to the Subcontractor. Buyer will not incur and/or pay for any late charges associated with a rejected invoice. The Buyer shall not reimburse any costs associated with the resubmission of an invoice to meet these requirements.

**Withholding Invoice Payments.** The Buyer may, at its sole discretion, withhold payment due for, but not limited to, the following reasons:

- Substandard Work or delays in the Work not corrected promptly.
- Evidence that a claim has been or will be filed against the Subcontractor.
- Failure to promptly and properly pay lower tier Subcontractors or suppliers.



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## **STATEMENT OF WORK**

**G.0 Statement Of Work****G.1 Environmental, Safety, and Health**

(HM027)

All work performed on the Hanford site shall be accomplished safely in accordance with all applicable Environmental, Safety and Health Requirements. See Special Provisions – On-Site.

Based on a review of the work scope for this Subcontract, the Buyer has determined that this Subcontract merits increased attention to safety performance. Accordingly, Subcontractor is required to apply the guiding principles and core function of Information Security Management System as referred to in the Special Provisions.

The Subcontractor shall submit the safety documentation, submittals, and/or safety plan specified by the Buyer in the Subcontract, Statement of Work before performing any work on site (unless specifically waived by Buyer).



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## **INSPECTION, ACCEPTANCE, AND QUALITY ASSURANCE REQUIREMENTS**

## **H.0 Inspection, Acceptance, and Quality Assurance Requirements**

### **H.1 DOE Inspection and Acceptance**

- (a) The Government, through any authorized representatives, has the right at all reasonable times, to inspect, conduct oversight, evaluate, or otherwise assess the work (including construction work) performed or being performed hereunder and the premises in which it is being performed. If any inspection, oversight, or evaluation is made by the Government on the premises of the Buyer or a Subcontractor, the Buyer shall provide and shall require the Subcontractors to provide all reasonable facilities and assistance for the safety and convenience of the Government representatives in the performance of their duties. All inspections and evaluations shall be performed in such a manner as will not unduly delay the work.
- (b) Government inspection, oversight, evaluation, and other assessments of Subcontractor-performed work are for the sole benefit of the Government, and do not:
  - (1) Relieve the Subcontractor of responsibility for providing adequate quality control measures;
  - (2) Relieve the Subcontractor of responsibility for damage to or loss of the material before acceptance;
  - (3) Constitute or imply acceptance; or
  - (4) Affect the continuing rights of the Government after acceptance of the completed work.
- (c) The presence or absence of a Government representative, performing inspection, oversight, evaluation, or assessment does not relieve the Subcontractor from any contract requirement, and does not change any term or condition of the specification.
- (d) For construction work:
  - (1) "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.
  - (2) Acceptance of construction work shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.
- (e) Acceptance of all work and effort under this subcontract (including "Reporting Requirements," if any) shall be accomplished by the Contracting Officer, or any authorized representative, as designated in writing by the Contracting Officer.



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## **DELIVERIES OR PERFORMANCE**

**I.0 Deliveries or Performance****I.1 Option to Extend the Term of the Subcontract**

(HM052)

HMIS may exercise its option to acquire the optional services prior to the expiration of this Subcontract. HMIS shall issue a written notice of its intent to exercise the option sixty (60) days prior to the option's effective date. The actual exercise of the option shall be formalized via a Subcontract modification.

This Subcontract includes the option(s) to extend the term identified herein. The total period of performance of the Subcontract includes the base period plus the optional period(s) exercised by HMIS. HMISHMIS will exercise the option(s) by providing written notice to the Subcontractor prior to expiration of the current effective period.

Option 1 - June 1, 2024 to May 31, 2025

Option 2 - June 1, 2025 to May 31, 2026

Option 3 - June 1, 2026 to May 31, 2027

Option 4 - June 1, 2027 to May 31, 2028

**I.2 Payment upon Delivery**

(HM056)

Subcontractor shall provide each deliverable/submittal in accordance with the statement of work and terms of this subcontract. Each deliverable/submittal will be considered accepted when HMIS provides the Subcontractor notice of acceptance. Only if HMIS has not first provided the Subcontractor with written notice of rejection. HMIS may reject a deliverable/submittal only in the event that it materially deviates from its technical specifications, including grammatical and spelling errors and only via written notice outlining the nature of such deviation. In the event of such rejection, the Subcontractor shall correct the deviation and redeliver the deliverable within three (3) days unless directed otherwise. Redelivery pursuant to the previous sentence will constitute another delivery, and the parties shall again follow the acceptance procedures. Subcontractor's failure to provide deliverables/submittals that materially conform to the technical specifications may constitute breach of the subcontract.





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## **SUBCONTRACT ADMINISTRATION**

## **J.0 Subcontract Administration**

### **J.1 Subcontractor Submittals**

(HM058)

The Subcontractor shall use the Subcontractor Document Submittal Form (SDSF) A-6003-061 (available at <https://hmis.hanford.gov/page.cfm/SubcontractorForms/StandardForms>) for the submittals identified on the Submittal Register herein. Instructions for completion of the SDSF are included with the form. The quantity, frequency, and type of submittal shall agree with the requirements set forth on the Submittal Register. A Submittal Number, entered on the SDSF by the Subcontractor in accordance with the submittal register, shall be used to identify each submittal. Engineering controlled Vendor Information (VI) content shall be identified on the SDSF when indicated on the Submittal Register. SDSF forms may be copied for submittals with different submittal dates. When any submission is returned to the Subcontractor with a request for resubmission (i.e., marked as: “B” “Minor Comments – Approved With Exceptions as Corrected Re-submittal Required”; or “C” “Not Approved Revise and Resubmit”) the Subcontractor shall resubmit all corrected documents within the time specified on the resubmission notice, or if no time is specified, within ten (10) working days from the disposition date. The Subcontractor shall contact the Buyer if additional Submittal Numbers are required for new Submittals.

If HMIS does not accept changes as complete to a Subcontractor deliverable, the Subcontractor shall re-submit using the SDSF form and in accordance with the Subcontractor’s HMIS -approved Quality Assurance and/or Engineering Program.

### **J.2 Document Transmittals**

(HM059)

The Subcontractor shall utilize a document transmittal system for the exchange of data and information during the performance of work under this Subcontract. The transmittal shall contain (1) a unique identification number, (2) a brief identification of the document(s) including revisions, (3) the date of the transmittal, (4) purpose of the transmittal, including required action (if any) (5) signature of Subcontractor representative, and (6) means or provisions for receipt acknowledgement by the Buyer.

### **J.3 Electronic Mail Capability**

(HM060)

The Subcontractor shall have internet access and maintain electronic mail capability for the duration of the Subcontract. The Subcontractor email account shall be able to send and receive attached documents of up to 1/2 megabyte in size. Correspondence concerning this Subcontract will be conducted via email in current versions of Microsoft Office applications, ASCII text, RTF, PDF, ZIP, and other commonly used file formats.

### **J.4 Foreign Nationals**

(HM061)

If the Subcontractor has a foreign national (non-US Citizen) performing work under this subcontract an approved form, A-6001-978, *Hanford Foreign National Visit/Assignment Request*, must be on file with



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HMIS prior to commencement of the work. HMIS relies upon the Subcontractor's current representation and requires continual compliance with all requirements of the Unclassified Visits and Assignments by Foreign Nationals procedures. Should the Subcontractor have a change in their foreign national personnel during the Subcontract period of performance, the Subcontractor shall notify the cognizant Contract Specialist.

### **J.5 Closeout Certification**

Subcontractor shall properly execute and email to the Buyer a final release, in a format acceptable to the Buyer, within thirty (30) working days from the last date services are provided hereunder and/or the date of the last shipment made hereunder. Final payment will not be made until a final release is signed and received by the Buyer.

### **J.6 Estimated Billing**

(HM0107)

It is mandatory for continued acceptable performance that the Subcontractor provides monthly, to HMIS Accounts Payable, the best estimate of the total billable cost (invoiced plus invoiceable) from the inception of the Subcontract through the current fiscal month end. This information must be provided through HMIS VendReg database located at: [HANFORD Vendor Registration Login](#) or via email to [HMISAccruals@rl.gov](mailto:HMISAccruals@rl.gov) by the 15<sup>th</sup> of each month. HMIS fiscal month end dates can be found on the homepage of VendReg. This data must be provided for each Subcontract release until all payments are received and the Subcontract is complete.



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## **SPECIAL SUBCONTRACT REQUIREMENT**

## **K.0 Special Subcontract Requirement**

Following, is a list of the special subcontract requirements that HMIS expects.

## **K.1 Negotiated Exceptions to General Provision**

(HM063)

The following exceptions/changes to the General Provisions or Special Provisions are agreed to and incorporated into the Subcontract: TBD.

## **K.2 Facility Closure Notice – Holiday and Work Schedules**

(HM072)

**NOTICE:** *Daily work schedules and facility operations are NOT consistent on the Hanford Site. Many organizations and facilities observe Friday closures.*

Accordingly, BEFORE scheduling deliveries or site work, the Subcontractor shall make specific schedule arrangements with the Contract Specialist, BTR, Facility Manager, Delivery Warehouse Manager, Building Manager, or other cognizant HMIS organization.

The Buyer will not be liable for the cost of any delays, demurrage, layovers, extra travel days, etc., which result from Subcontractor's failure to obtain a specific delivery or work schedule in advance.

## **K.3 Inspection of Services**

Definitions. "Services," as used in this clause, includes services performed and when applicable, materials furnished or utilized in the performance of services.

1. Subcontractor may be required to provide and maintain an internal quality control/inspection system covering the services performed under this Subcontract that is acceptable to the Buyer. However, Buyer acceptance does not relieve the Subcontractor in any way for full performance responsibility.
2. Complete records of all inspections performed by Subcontractor on work related to the requirements of this Subcontract shall be maintained and made available to the Buyer during Subcontract performance, and for as long afterwards as is required by the DEAR clause 970.5204-9 (modified) of the General Provisions of this Subcontract.
3. The Buyer reserves the right to inspect and test all services called for by the Subcontract, to the extent practicable, at all times and places during the term of the Subcontract. This right shall extend to any of Subcontractor's sub-tier Subcontractors whose work applies directly to this Subcontract. Subcontractor shall make such provisions as necessary in its sub-tier subcontracts and contracts to ensure the preservation of this right. The Buyer shall perform inspections and tests in a manner that will not unduly delay the work.
4. If any of the services provided by Subcontractor do not conform to its requirements, the Buyer may require Subcontractor to perform the services again in conformity with Subcontract requirements at no additional fee if a fee is payable under other provisions of this Subcontract. When defects in services cannot be corrected by re-performance, the Buyer may: (1) require Subcontractor to take necessary action to ensure that future performance conforms to contractual

requirements, and (2) reduce any fee payable under this Subcontract to reflect the reduced value of the services performed.

5. If Subcontractor again fails to promptly perform the services or to take necessary action to ensure that future performance is in conformity with contractual requirements, the Buyer may: (1) by Subcontract or otherwise, perform the services and reduce any fee payable by an amount that is equitable under the circumstances or (2) terminate this Subcontract for default in accordance with the clause entitled "Termination for Default" of the General Provisions of this Subcontract.

#### **K.4 Requirement for Lower-Tier Subcontracting**

(HM080)

Outlined are the requirements for lower-tier subcontracting.

1. Any lower-tier Subcontractor shall be bound by all required flow down requirements and expectations set forth in Subcontractor's Subcontract. Subcontractor's contract with lower-tier Subcontractors shall specifically call out the provisions of HMIS Special Provisions – On Site Services, as well as any other technical and Environment, Safety, Health and Quality (EH&Q) or quality standard/procedure from the HMIS Statement of Work applicable to the lower-tier's efforts.
2. Any lower-tier subcontract issued shall include a clause or provision to further flow down these requirements to subsequent lower-tier Subcontractors.

#### **K.5 Subcontractor Non-Disclosure Agreement**

(HM082)

This Subcontract is subject to the Subcontractor Non-Disclosure Agreement (Hanford Site Form A-6008-125). Upon request, the Subcontractor shall complete the form and submit it to the designated Contract Specialist.

#### **K.6 Limitation of Liability – Services**

(HM 088)

Carefully consider the following list of HMIS services limitation of liability.

1. Subcontractor shall not be liable for loss of or damage to property of the Government that (1) occurs after Buyer acceptance of services performed under this Subcontract and (2) results from any defects or deficiencies in the services performed or materials furnished except as provided in paragraphs 2 and 3 below, and except to the extent that the Subcontractor is expressly responsible under this Subcontract for deficiencies in the services required to be performed under it (including any materials furnished in conjunction with those services).
1. The limitation of liability under paragraph 1 above shall not apply when a defect or deficiency in, or the Buyer's acceptance of, services performed, or materials furnished results from willful misconduct or lack of good faith on the part of any of the Subcontractor's managerial personnel. The term "Subcontractor's Managerial Personnel," as used in this clause, means the Subcontractor's directors, officers, and any of the Subcontractor's managers, superintendents, or equivalent representatives who have supervision or direction of:

- a. All or substantially all of the Subcontractor's business;
  - b. All or substantially all of the Subcontractor's operations at any one plant, laboratory, or separate location at which the Subcontract is being performed; or
  - c. A separate and complete major industrial operation connected with the performance of the Subcontract.
2. If the Subcontractor carries insurance, or has established a reserve for self-insurance, covering liability for loss or damage suffered by the Government or the Buyer through the Subcontractor's performance of services or furnishing of material under this Subcontract, the Subcontractor shall be liable to the Government or the Buyer, to the extent of such insurance or reserve, for loss of or damage to property of the Government occurring after Buyer's acceptance of, and resulting from any defects and deficiencies, in services performed or materials furnished under this Subcontract.
3. The Subcontractor shall include this clause, including this paragraph, supplemented as necessary to reflect the relationship of the Subcontracting parties, in all lower-tier Subcontracts over \$25,000.00.



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## **SUBCONTRACT CLAUSES**



**L.0 Subcontract Clauses****L.1 Representations and Certifications**

HMIS relies upon Subcontractor's current representations and certifications within a Federal web-based system, the System for Award Management (SAM), that centralizes and standardizes the collection, storage and viewing of many of the representations and certifications required by the Federal Acquisition Regulations.

The Subcontractor certifies that:

1. The representation and certification information within SAM is still current;
2. All statements and explanatory documentation submitted are current and accurate;
3. Subcontractor complies with all requirements of State of Washington statutes, ordinances, rules and regulations, codes, and orders related to equal employment opportunity and operation of non-segregated facilities;
4. All Subcontractor employees who may work on HMIS premises or on the Hanford Site are not under the influence of controlled substances, drugs, or alcohol. Subcontractor agrees to the testing of assigned employees under HMIS program for controlled substances;
5. Subcontractor's information in the HMIS registration system is current (no more than 12 months old); and
6. Subcontractor will update its representations and certifications in SAM on an annual basis.

**L.2 Notification of Changes in Size and Status**

(HM098)

Subcontractor shall immediately notify Buyer of any change in its small business size and/or socioeconomic status, including but not limited to qualification for the NAICS code applicable to this procurement and/or status as a Disadvantaged, Women Owned, Veteran Owned, Service-Disabled Veteran Owned, and/or HUBZone small business.

Upon learning that any information contained in Subcontractor's written representations and certifications; information in the SAM database, including representation and certification information; supporting or explanatory statements and/or documentation; and/or vendor registration information is incorrect, incomplete, or has changed, Subcontractor shall immediately notify Buyer and provide updated or corrected information and a statement of the reason(s) for the update, correction, or change.

Subcontractor shall indemnify, defend, and hold harmless Buyer for any and all liability, loss, damages, penalties, claims, costs, and expenses, including attorney fees and costs of legal action, litigation, or settlement, arising from or relating to Subcontractor's failure to comply with Subcontractor's approved Small Business Subcontracting Plan, if applicable; submit required reports or information thereunder; or promptly provide to Buyer any requested information and/or documents described in the preceding paragraph.

**L.3 Service Contract Act Wage Determinations**

(HM102)

This Subcontract is subject to the McNamara-O'Hara Service Contract Act of 1965 (SCA). In accordance with the SCA, the Subcontractor shall pay service employees, employed in the performance of this Subcontract, no less than the minimum wage and furnish fringe benefits in accordance with the incorporated Wage Determination.

The applicable Wage Determination for this Subcontract can be found in the List of Subcontract Attachments section. During the term of this Subcontract, HMIS may unilaterally modify this Subcontract to incorporate revised Wage Determinations. If a Wage Determination (or revision) is incorporated after award and the Subcontractor has to adjust rates payable to employees covered by the SCA in order to comply with the specified minimum wages and fringe benefits, the Subcontractor may request an equitable adjustment in accordance with the provisions of this Subcontract.

**L.4 Fair Labor Standards Act and Service Contract Act – Price Adjustment (Multiple year and Option Contracts) (FAR 52.222-43, May 2014)**

(HM103)

- a. This clause applies to both Subcontracts subject to area prevailing wage determinations and Subcontracts subject to collective bargaining agreements.
- b. The Subcontractor warrants that the prices in this Subcontract do not include any allowance for any contingency to cover increased costs for which adjustment is provided under this clause.
- c. The wage determination, issued under the Service Contract Act of 1965, as amended, (41 U.S.C. 351, et seq.), by the Administrator, Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, current on the anniversary date of a multiple year Subcontract or the beginning of each renewal option period, shall apply to this Subcontract. If no such determination has been made applicable to this Subcontract, then the Federal minimum wage as established by section 6(a)(1) of the Fair Labor Standards Act of 1938, as amended, (29 U.S.C. 206) current on the anniversary date of a multiple year Subcontract or the beginning of each renewal option period, shall apply to this Subcontract.
- d. The Subcontract price, Subcontract unit price labor rates, or fixed hourly labor rates will be adjusted to reflect the Subcontractor's actual increase or decrease in applicable wages and fringe benefits to the extent that the increase is made to comply with, or the decrease is voluntarily made by the Subcontractor as a result of:
  1. The Department of Labor wage determination applicable on the anniversary date of the multiple year Subcontract, or at the beginning of the renewal option period. For example, the prior year wage determination required a minimum wage rate of \$4.00 per hour. The Subcontractor chose to pay \$4.10. The new wage determination increases the minimum rate to \$4.50 per hour. Even if the SUBCONTRACTOR voluntarily increases the rate to \$4.75 per hour, the allowable price adjustment is \$.40 per hour;
  2. An increased or decreased wage determination otherwise applied to the Subcontract by operation of law; or
  3. An amendment to the Fair Labor Standards Act of 1938 that is enacted after award of this Subcontract, affects the minimum wage, and Any adjustment will be limited to increases or decreases in wages and fringe benefits as described in paragraph (d) of this clause, and the accompanying increases or decreases in social security and unemployment taxes and

workers' compensation insurance, but shall not otherwise include any amount for general and administrative costs, overhead, or profit.

- e. HMIS of any increase claimed under this clause within 30 days after receiving a new wage determination unless this notification period is extended in writing by HMIS. The Subcontractor shall promptly notify HMIS of any decrease under this clause, but nothing in the clause shall preclude the Government from asserting a claim within the period permitted by law. The notice shall contain a statement of the amount claimed and the change in fixed hourly rates (if this is a time-and-materials or labor-hour contract), and any relevant supporting data, including payroll records, which HMIS may reasonably require. Upon agreement of the parties, the Subcontract price, Subcontract unit price labor rates, or fixed hourly rates shall be modified in writing. The SUBCONTRACTOR shall continue performance pending agreement on or determination of any such adjustment and its effective date.
- f. HMIS of any increase claimed under this clause within 30 days after receiving a new wage determination unless this notification period is extended in writing by HMIS. The Subcontractor shall promptly notify HMIS of any decrease under this clause, but nothing in the clause shall preclude the Government from asserting a claim within the period permitted by law. The notice shall contain a statement of the amount claimed and the change in fixed hourly rates (if this is a time-and-materials or labor-hour contract), and any relevant supporting data, including payroll records, which HMIS may reasonably require. Upon agreement of the parties, the Subcontract price, Subcontract unit price labor rates, or fixed hourly rates shall be modified in writing. The SUBCONTRACTOR shall continue performance pending agreement on or determination of any such adjustment and its effective date.
- g. HMIS or an authorized representative shall have access to and the right to examine any directly pertinent books, documents, papers, and records of the SUBCONTRACTOR until the expiration of 3 years after final payment under the Subcontract.

#### **L.5 Contractor Employee Whistleblower Rights and Requirement to Inform Employees of Whistleblower Rights (FAR 52. 203-17)**

(HM104)

- a. This Subcontract and employees working on this Subcontract will be subject to the whistleblower rights and remedies in the pilot program on Contractor employee whistleblower protections established at 41 U.S.C. 4712 by section 828 of the National Defense Authorization Act for Fiscal Year 2013 (Pub. L. 112-239) and FAR 3.908.
- b. The Subcontractor shall inform its employees in writing, the predominant language of the workforce, of employee whistleblower rights and protections under 41 U.S.C. 4712, as described in section 3.908 of the Federal Acquisition Regulation.

The Subcontractor shall insert the substance of this clause, including this paragraph (c), in all subcontracts over the simplified acquisition threshold.

## **ATTACHMENTS**

## M.0 Subcontract Attachments

### M.1 List of Subcontract Attachments

The following attachments are hereby incorporated into and made a part of this Subcontract. They shall have the same force and effect as if written into the body of the Subcontract. Subcontractor is responsible for downloading and complying with the applicable revision as identified below.

<https://hmis.hanford.gov/page.cfm/SubcontractorForms/SubcontractProvisions>

Attachment No.	Title	Revision	Date
1	Statement of Work	0	04/06/2023
Appendix A	Submittal Register	1	04/25/2022
2	Compensation Schedule	TBD	TBD
3	General Provisions - T&M/LHC	7	10/11/2022
4	Special Provisions - On Site	1	02/22/2021
5	Service Contract Act Wage Determination WD 2015-5527	15	12/27/2021
6	Nondisclosure Agreement	0	TBD

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UNLESS THE BOX BELOW IS CHECKED, The SUBCONTRACTOR shall acknowledge this document, as provided herein, regardless of dollar value, by signing below and returning a signed copy of this subcontract. This signature represents certification that all submissions (including electronic) associated with this subcontract award are accurate, current, and complete.

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☐ If checked, Subcontractor signature not required

Authorizing Signatures:

Subcontractor

Hanford Mission Integration Solutions



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Name	Date	Name	Date
Title		Title	
Phone:		Phone:	